# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

ALT-5604D CONT II of Division

In Re Application Of: 0	Connell et al.		Sin 12
Serial No.	Filing Date	Examiner	Group Art Unit
Unknown	Herewith	Unknown	Unknown
Title:			
METHOD AND APPARA	ATUS FOR KIDNEY DIALYSIS		
	Assistant Comm	dress to: nissioner for Patents on, D.C. 20231	
	37 CF	R 1.97(b)	
of a national app CFR 1.491 in a	olication; within three months of the	herewith is being filed within three ne date of entry of the national stage fore the mailing date of a first O	e as set forth in 37
	37 CF	FR 1.97(c)	
a national applic	cation, or the date of entry of th	herewith is being filed after three more ne national stage as set forth in 37 ate of a first Office Action on the :	7 CFR 1.491 in an
1.	a Final Action under 37 CFR 1.11	13, or	
2.	a Notice of Allowance under 37 C	CFR 1.311,	
whichev	er occurs first.		
Also submitted h	nerewith is:	•	
☐ a certifica	tion as specified in 37 CFR 1.97(	e);	
	OR		
<del></del>	et forth in 37 CFR 1.17(p) for s CFR 1.97(c).	submission of an Information Discl	osure Statement

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
ALT-5604D CONT II

(0)	ader 37 CFR 1.97(b) or 1.97	(6))	ALT-5004D CONT II WALL
In Re Application Of: C	connell et al.		
Serial No.	Filing Date	Examiner	Group Art
Unknown	Herewith	Unknown	Unknown
T'()			
Title: METHOD AND APPARA	ATUS FOR KIDNEY DIALYSIS		
		nent of Fee to pay the fee set forth in 37 CFR 1.	17(p))
☐ A check in the am			
	mmissioner is hereby authorized w. A duplicate copy of this shee		CCOUNT NO. 02-1818
	ne amount of	t is cholosed.	
~	y overpayment.		
	ny additional fee required.		
Certificate of T	Transmission by Facsimile*	Certificate of Mailin	g by First Class Mail
	locument and authorization to charge and facsimile transmitted to the United emark Office (Fax. No.	onov. 13, 2000 v first class mail under 37 C.F.I Assistant Commissioner for 20231.  Signature of Person M.	and fee is being deposited with the U.S. Postal Service as R. 1.8 and is addressed to the Patents, Washington, D.C.
Typed or Printed	Name of Person Signing Certificate		Street rson Mailing Correspondence
*This certificate may deposit account.	y only be used if paying by  ignature  2)	Dated: November 13, 2000	
cc:			



### INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Connell et al.

DOCKET NO.: ALT-5604D CONT II of DIV III

**SERIAL NO:** 

Unknown

ART UNIT: Unknown

(Continuation of

U.S. Patent

**Application Serial** No. 09/067,922)

FILED:

Herewith

EXAMINER: Unknown

INVENTION:

"METHOD AND APPARATUS FOR KIDNEY DIALYSIS"

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

SIR:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that a citation and examination of the references identified below and on the attached PTO-1449 form be made during the course of examination of the above-mentioned application for United States Patent. Copies of the documents that include an "\*" Applicants believe can be found in the parent application, U.S. Serial No. 09/067,922, and therefore are not being submitted herewith pursuant to 37 C.F.R. § 1.98. The other documents are enclosed herewith. Applicants reserve the right to attempt to antedate any document that does not constitute a statutory bar.

#### U.S. PATENTS

Patent Number	<u>Inventor</u>	<u>Date</u>
3,563,381*	Edelson et al.	February 16, 1971
3,946,731*	Lichtenstein	March 1976

3,992,301*	Shippey et al.	November 1976
4,096,059*	Pinkerton	June 1978
4,098,274*	Ebling et al.	July 1978
4,209,391*	Lipps et al.	June 24, 1980
4,366,061*	Papanek et al.	December 28, 1982
4,370,983*	Lichtenstein	February 1, 1983
4,477,342*	Allan et al.	October 16, 1984
4,508,622*	Polaschegg et al.	April 1985
4,601,830*	Chen	July 1986
4,614,590*	Rath et al.	September 1986
4,618,343*	Polaschegg	October 1986
4,715,959*	Allan et al.	December 19, 1987
4,718,022*	Cochran	January 1988
4,739,492*	Cochran	April 1988
4,747,950*	Guinn	May 31, 1988
4,756,706*	Kerns et al.	July 12, 1988
4,769,134*	Allan et al.	September 6, 1988
4,770,769*	Schael	September 1988
4,804,950*	Moon et al.	February 1989
4,828,543*	Weiss et al.	May 9, 1989
4,834,888*	Polaschegg	May 1989
4,873,623*	Lane et al.	October 1989
4,895,657*	Polaschegg	January 1990

4,898,578*	Rubalcaba, Jr.	February 6, 1990
4,914,624*	Dunthorn	April 1990
4,916,441*	Gombrich	April 1990
4,935,125*	Era et al.	June 1990
4,971,700*	Tsuji et al.	November 1990
4,974,599*	Suzuki	December 4,1990
4,990,258	Bjare et al.	February 5, 1991
4,997,570*	Polaschegg	March 1991
5,024,756*	Sternby	June 1991
5,056,059*	Tivig et al.	October 8, 1991
5,069,668	Boydman	December 3, 1991
5,100,554*	Polaschegg	March 1992
5,111,683*	Fond	May 1992
5,344,392*	Senninger	September 1994

### FOREIGN PATENTS

Patent Number	Country	<u>Date</u>
54-153781*	Japan	December 1979
2 513 884*	France	October 1982
0 089 003	Europe	September 21, 1983
2 513 884	France	April 8, 1983
0 186 973*	Europe	July 9, 1986
0 298 587	Europe	May 11, 1987

0 240 101 Europe October 7, 1987

0 267 664\* Europe May 18, 1988

2 205 669\* United Kingdom December 14, 1988

0 311 709 Europe April 19, 1989

WO 90/14850 PCT December 13, 1990

#### **OTHER DOCUMENTS**

Operator's Manual for SPS 550, Published by Baxter Healthcare Corp., 1987.

Operator's Instructions for A2008 Hemodialysis System, Published by Fresenius, pp 1-116.

HD-secura Dialysis Unit Operating Manual, Published by B. Braun Melsunden AG, January 1991, pp. 1-157.

Lamberti et al, "A Digital Computer Model for Optimal Programming of Hemodialytic Treatment," The International Journal of Artificial Organs, Vol. 11, no. 4, 1988, pp. 235-242.

Nephrology Dialysis Transplantation, Vol. 2, No. 2, 1987.

Monitral-S Dialysis System Brochure, January 1988, Vol. 1, No. 1.

Nephrology Dialysis Transplantation, Vol. 3, No. 4, 1988.

Zucchelli, et al., Treatment and Monitoring of Renal Patients, Nephrology Dialysis Transplantation, Symposium 27-29, April 1989 at Bologna, Italy.

Nephrology Dialysis Transplantation, Vol. 4, No. 12, 1989.

COBE Laboratories Centrysystem 3 Dialysis Management System Brochure, 1989.

Gambro AB, More Care in Renal Care, AK 100 Brochure.

Gambro AB, AK 100 Ultra One Step Above Brochure.

Gambro AB, Haemodialysis Equipment Brochure, December 1990, Evaluation No. 47.

Fresenius, The A 2008 D Dialysis System Brochure.

Fresenius, A 2008 D Dialysis System Brochure.

Baxter Healthcare Corporation, The Baxter 1550 Hemodialysis Machine Brochure, 1991.

Hospal Integra, The Hospal Vision of the Future in Dialysis Monitoring Brochure.

Hanna, Annetta, 1991 Annual Design Review, Introduction.

Althin CD Medical, Inc.; reprint of: Winning Design for Althin's System 1000; June 12 issue of Clinica.

Althin CD Medical, Inc.; reprint of Applications: Dialysis Machine; September/October 1991; Plastics Design Forum; p. 72.

International Design Prize of the State of Baden-Wurttemberg brochure; 1993.

Fresenius, 4008 Profile Display, Na/UF Graphics Brochure.

Fresenius, 4008 E Dialysis System Brochure.

Fresenius, 2008 H Dialysis System Brochure.

Fresenius, The Highest Standards in Hemodialysis Brochure.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

(Reg. No. 30,142)

Robert M. Barrett

BELL, BOYD & LLOYD LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4204

ATTORNEY FOR APPLICANTS